

Cartesian tree

Theory and applications

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1 Some notations

- u, v, w — some nodes of the binary search tree;
- $parent(v)$ — the parent of some node v in the binary search tree. If v is the root then $parent(v) = NIL$;
- $left(v)$ — left child of some node v in the binary search tree. If the left subtree is empty, then $left(v) = NIL$;
- $right(v)$ — right child of some node v in the binary search tree. If the right subtree is empty, then $right(v) = NIL$;
- $key(v)$ — the value of a node v that affects the tree structure;
- $x(v)$ — another way to denote keys in Cartesian trees. Usually, $x(v) = key(v)$.
- $y(v)$ — some additional value associated with the node v and used to build the tree;
- $subtree(v)$ — the set of all nodes that lie inside the subtree of some node v (v is also included);
- $size(v)$ — the size of the subtree of some node v ;
- $x_l(v)$ — the minimum key in the subtree of the node v , that is:

$$x_l(v) = \min_{u \in subtree(v)} key(u)$$

- Same as $x_l(v)$ we define $x_r(v)$ as the maximum key in the subtree of the node v :

$$x_r(v) = \max_{u \in subtree(v)} key(u)$$

- $depth(v)$ is the length of the path from $root$ to v . $depth(root) = 0$.
- $height(v)$ is the difference between $max(depth(u))$ and $depth(v)$, where $u \in subtree(v)$.

2 Key points and definitions

- Greedy algorithm of finding an increasing subsequence: take first element that is greater than current, "left ladder". The expected length of the result on a random permutation is $O(\log n)$.
- BST stands for *binary search tree*, that is a binary rooted tree with some keys associated with every node, and the following two conditions hold:

$$key(u) < key(v), \forall u, v : u \in subtree(left(v))$$

and

$$key(u) > key(v), \forall u, v : u \in subtree(right(v))$$

- For any pair of nodes of any binary search tree v and u :
 $u \in subtree(v)$ if and only if $x_l(v) \leq key(u) \leq x_r(v)$
- For any tree and some keys stored in nodes of that tree we say that *heap condition* holds if for any v that is not the root:

$$key(parent(v)) \geq key(v)$$

- Binary search tree of size n is balanced if it's height is $O(\log n)$.
- *Cartesian tree* or *treap* is a balanced binary search tree, where each node is assigned some random values $y(v)$, which satisfy to the heap condition. Hereafter we will treat $y(v)$ as a random permutation.
- Cartesian tree is uniquely determined by a set of pairs (x_i, y_i) , such that all x_i are pairwise distinct and all y_i are pairwise distinct.
- Node v is an ancestor of a node u if and only if for every $w \neq v$ such that $\min(key(v), key(u)) \leq key(w) \leq \max(key(v), key(u))$ it's y is smaller than the y of v , i.e. $y(v) > y(w)$.
- Linear algorithm to build Cartesian tree having a sorted pairs using stack.
- The expected depth of an i -th node (in the order of left-right traversal) is

$$\sum_{j=0}^{j < n} \frac{1}{|j - i| + 1} \leq 2 \cdot \sum_{j=1}^{j \leq n} \frac{1}{j} = O(\log n)$$

- We can treat a Cartesian tree as an array, if we replace $x(v)$ with it's relative position on the tree. The data structure is called *Implicit-key Cartesian tree*.
- Persistent Cartesian tree cannot use fixed random values $y(v)$, instead, two subtrees are merge with probability proportional to their sizes.